

Sun Microsystems, Inc.  
Intellectual Property Law Department  
901 San Antonio Road, MS UPAL1-521  
Palo Alto, CA 94303  
(650) 786-7852  
(650) 786-2325



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April 11, 2001

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RE:     Applicant(s)     Foster, Tim S.; Lahiff, Louise Christine  
         Assignee:        Sun Microsystems, Inc.  
         Title:            SOFTWARE PACKAGE VERIFICATION  
         Serial No.:       09/776,328  
         Examiner:        To Be Assigned  
         Docket No.:       P4756 US

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1)     Return Receipt Postcard;
- (2)     This Transmittal Letter (1 page, in duplicate);
- (3)     Information Disclosure Statement (3 pages);
- (4)     Modified PTO Form 1449 (2 pages)
- (5)     Copies of three (3) cited references (from European Search Report).

- ☒ No additional fee is required.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.: 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 11, 2001.

*Anurma R. Gupta*  
Attorney for Applicants

*4/11/01*  
Date of Signature

Respectfully submitted,

*Anurma R. Gupta*

Anurma R. Gupta  
Attorney for Applicants  
Reg. No. 38,275

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         Examiner:        To Be Assigned  
         Docket No.:       P4756 US

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1)     Return Receipt Postcard;
- (2)     Response To Notice To File Corrected Application Papers (1 page);
- (3)     Submission Of Substitute Drawings in compliance with 37 CFR 1.84 (1 page);
- (4)     Substitute Drawings In Compliance with 37 CFR 1.86  
         (7 sheets, Figures 1, 2, 3, 4, 5, 6, and 7); and
- (5)     Part 2 - Copy of Notice To File Corrected Application Papers.

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Anurma R. Gupta  
Attorney for Applicants

4/11/01  
Date of Signature

Respectfully submitted,

Anurma R. Gupta

Anirma R. Gupta  
Attorney for Applicants  
Reg. No. 38,275



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Foster, Tim S.; Lahiff, Louise Christine  
Assignee: Sun Microsystems, Inc.  
Title: SOFTWARE PACKAGE VERIFICATION  
Serial No.: 09/776,328 Filing Date: 2/2/01  
Examiner: To Be Assigned Group Art Unit: 2122  
Docket No.: P4756 US Confirmation : 9582

April 11, 2001

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COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**RESPONSE TO NOTICE TO FILE CORRECTED  
APPLICATION PAPERS – *Filing Date Granted***

Dear Sir:

In response to the "Notice To File Corrected Application Papers–Filing Date Granted" mailed by the United States Patent and Trademark Office on March 16, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Submission Of Substitute Drawings in compliance with 37 CFR 1.84;
2. Substitute drawings in compliance with 37 CFR 1.84  
(7 sheets, Figs. 1, 2, 3, 4, 5, 6, and 7); and
3. Part 2 – Copy of Notice To File Corrected Application Papers.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No.: 19-4974.

It is hereby respectfully submitted that the enclosed documents complete the

filing of the above patent application and justify the filing date of February 2, 2001.  
Please telephone the undersigned at (650) 786-2105, if there are any questions.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 11, 2001.

Anirma R. Gupta  
Attorney for Applicants

4/11/01  
Date of Signature

Respectfully submitted,

Anirma R. Gupta

Anirma R. Gupta  
Attorney for Applicants  
Reg. No. 38,275



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,328	02/02/2001	Tim Scott Foster	P4756 US

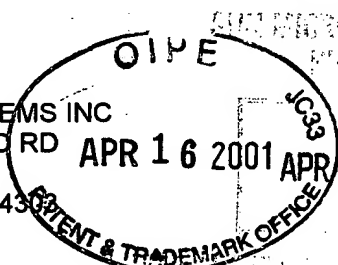
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SUN MICROSYSTEMS INC

901 SAN ANTONIO RD

MS PALO1-521

PALO ALTO, CA 94301



CONFIRMATION NO. 9582

## FORMALITIES LETTER



\*OC00000005872177\*

Date Mailed: 03/16/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

- This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.
- Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*Rec*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Foster, Tim S.; Lahiff, Louise Christine  
Assignee: Sun Microsystems, Inc.  
Title: SOFTWARE PACKAGE VERIFICATION  
Serial No.: 09/776,328 Filing Date: 2/2/01  
Examiner: Unknown Group Art Unit: 2122  
Docket No.: P4756 US Confirmation No.: 9582

April 11, 2001

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**SUBMISSION OF SUBSTITUTE DRAWINGS  
IN COMPLIANCE WITH 37 CFR 1.84**

Dear Sir:

Applicants submit seven (7) sheets of substitute drawings in compliance with 37 CFR 1.84, consisting of Figures 1, 2, 3, 4, 5, 6, and 7, in the above-named application. If there are any questions regarding these drawings, please contact the undersigned at (650) 786-2105.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 11, 2001.

*Aniruma R. Gupta*  
Attorney for Applicants

*4/11/01*  
Date of Signature

Respectfully submitted,

*Aniruma R. Gupta*

Aniruma R. Gupta  
Attorney for Applicants  
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